Baker & Hostetler LLP

45 Rockefeller Plaza

New York, New York 10111 Telephone: 212.589.4200 Facsimile: 212.589.4201

David J. Sheehan

Email: dsheehan@bakerlaw.com

Keith R. Murphy

Email: kmurphy@bakerlaw.com

Peter B. Shapiro

Email: pshapiro@bakerlaw.com

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

M. HARVEY RUBIN TRUST OF 11/11/92 and MAURICE HARVEY RUBIN,

Defendants.

No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-04886 (SMB)

STIPULATION AND ORDER FOR VOLUNTARY DISMISSAL OF ADVERSARY PROCEEDING WITH PREJUDICE

Irving H. Picard (the "Trustee"), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities LLC under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa–*Ill*, and the substantively consolidated estate of Bernard L. Madoff individually, by and through his counsel, Baker & Hostetler LLP, and the M. Harvey Rubin Trust of 11/11/92 and Maurice Harvey Rubin (collectively, the "Defendants"), by and through their counsel, Kaplan Landau LLP (collectively, the "Parties"), hereby stipulate and agree to the following:

- 1. On December 2, 2010, the Trustee filed and served a complaint against Defendants.
 - 2. On April 6, 2011, Defendants served an answer on the Trustee.
- 3. On June 9, 2017, the Parties entered into a settlement agreement pursuant to the Settlement Procedures Order, entered by this Court on November 12, 2010 [Dkt. No. 3181].
- 4. In accordance with Federal Rule of Bankruptcy Procedure 7041(a)(1)(ii), and Federal Rule of Civil Procedure 41(a)(1), the Parties hereby stipulate to a dismissal with prejudice of the Trustee's claims against Defendants in the above-captioned adversary proceeding and dismissal of the adversary proceeding with prejudice.
- 5. The provisions of this Stipulation shall be binding upon and shall inure to the benefit of the Parties and their respective successors and assigns and upon all creditors and parties of interest.

08-01789-cgm Doc 16278 Filed 06/28/17 Entered 06/28/17 07:33:34 Main Document Pg 3 of 3

6. This Stipulation may be signed by the Parties in any number of counterparts, each of which when so signed shall be an original, but all of which shall together constitute one and the same instrument. A signed facsimile, photostatic, or electronic copy of this Stipulation shall be deemed an original.

New York, New York June 27, 2017

By: <u>/s/ Keith R. Murphy</u>

BAKER & HOSTETLER LLP

45 Rockefeller Plaza

New York, New York 10111

Telephone: 212.589.4200 Facsimile: 212.589.4201

David J. Sheehan

Email: dsheehan@bakerlaw.com

Keith R. Murphy

Email: kmurphy@bakerlaw.com

Peter B. Shapiro

Email: pshapiro@bakerlaw.com

By: /s/ Kenneth A. Hicks
KAPLAN LANDAU LLP

1065 Avenue of the Americas, 27th Floor

New York, New York 10018 Telephone: 212.593.1700 Facsimile: 212.593.1707

Email: khicks@kaplanlandau.com

Attorney for Defendants M. Harvey Rubin Trust of 11/11/92 and Maurice Harvey Rubin

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

SO ORDERED

/s/ STUART M. BERNSTEIN
HON. STUART M. BERNSTEIN
UNITED STATES BANKRUPTCY JUDGE

Dated: June 27, 2017 New York, New York